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Docket No.: 4024-4008

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Trezza

Serial No.: 09/896,797

Group Art Unit:

2633

Filed:

June 29, 2001

Examiner:

Bello, Augustin

For:

REDUNDANT OPTICAL DEVICE ARRAY

EXPRESS MAIL CERTIFICATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Express Mail Label No.: EV623606165US

Date of Deposit: June 21, 2005

I hereby certify that the following attached paper(s) and/or fee:

- 1. Seventh Supplemental Information Disclosure Statement (3 pgs.);
- Form PTO 1449A (1 pg.); and

Return receipt postcard.

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Anita Coughlan

(Typed opprinted name of person mailing papers(s) and/or fee)

(Signature of person mailing paper(s) and/or fee

Correspondence Address:

MORGAN & FINNEGAN, L.L.P. Three World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile



JNITED STATES PATENT AND TRADEMARK OFFICE

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Appl	icant(s):	John Trezza			
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Filed	i e	June 29, 2001	Examiner:	Bello, Augustin	
For:		REDUNDANT OPTICAL DEV	/ICE ARRAY		
	SEVENT	TH SUPPLEMENTAL INFOR	MATION DISCLOS	URE STATEMENT	
P.O. 1	3ox 1450	for Patents A 22313-1450			
Sir:					
		This Information Disclosure State	ment is filed in accord	lance with 37 C E D	
§§1.5		d 1.98. The items listed on Form			
		to assist the Patent and Trademar			
		s respectfully requested to fully c			
	eaching.			is macponacinity ascertain	
1.	not ir	ach of the following items listed n the English language, an Englis of or a concise explanation of the	n language translation	of that item or a portion	
2.	not in	ach of the following items listed the English language, a concise porated in the specification of the	explanation of the rele	vance of that item is	
3.	enclo	copy of the items listed on the end sed with this Information Disclos itted to the Patent and Trademark	ure Statement was pre	viously cited by or	
_		-			
4.	No fe since	e is due under 37 C.F.R. §1.17(p) it is being filed in compliance wi	for this Information I th:	Disclosure Statement	
		37 C.F.R. §1.97(b)(1), within the application other than a CPA; of	aree months of the filing	ng date of a national	
		37 C.F.R. §1.97(b)(2), within the national stage as set forth in §1	aree months of the date 491 in an internationa	e of entry into the I application; or	

		37 C.F.R. §1.97(b)(3), before the mailing date of a first Office action on the merits; or
		37 C.F.R. $\S 1.97 (b) (4)$ before the mailing date of a first office action after the filing of an RCE under $\S 1.114.$
5.	since specif Notic accon	e is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement it is being filed in compliance with 37 C.F.R. §1.97(c), after the period fied in paragraph 4 above but before the mailing date of a final action or a e of Allowance (where there has been no prior final action), and is apanied by one of the certifications pursuant to 37 C.F.R. §1.97(e) set forth ragraph 9 below.
5.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(c), after the period specified in raph 4 above but before the mailing date of a final action or a notice of ance (where there has been no prior final action):
		A check in the amount of \$180.00 is enclosed in payment of the fee.
		Charge the fee to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.
7.	it is be	is due under 37 C.F.R. §1.17(p) for this Information Disclosure Statement since eing filed in compliance with 37 C.F.R. §1.97(d), after the mailing date of a final or a notice of allowance, whichever comes first, but before payment of the issue nd is accompanied by:
		ne of the certifications pursuant to 37 C.F.R. §1.97(e) set forth in paragraph 9 elow; and
		ne fee due under 37 C.F.R. §1.17(p) which is paid as set forth in paragraph 11 elow.
3.	This I	nformation Disclosure Statement is being filed in compliance with:
	а. 🗌	37 C.F.R. §1.313(b)(3) or §1.313(c)(1), after the issue fee has been paid and information cited in this Information Disclosure Statement may render at least one claim unpatentable and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h);
	b. 🗌	37 C.F.R. §1.313(c)(2) or §1.313(c)(3), after the issue fee has been paid and information cited in this Information Disclosure Statement is to be considered in a Request for Continued Examination (RCE) or a Continuation application upon abandonment of the instant application and is accompanied by the attached Petition To Withdraw Application From Issue and fee pursuant to 37 C.F.R. §1.17(h).
	c. 🗌	The fee due under 37 C.F.R. §§1.17(h) is paid as set forth in paragraph 11

9.		I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.				
		filed herewith was cited in a communication counterpart foreign application or, to my knowas known to any individual designated in §	I hereby certify that no item of information in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.			
10.		This document is accompanied by _ a Searc cited in a corresponding _ PCT or _ Foreign				
11.		A check in the amount of \$ is enclose C.F.R. §§1.17(h) and 1.17(p).	d in payment of the fees due under 37			
		Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.				
	☑ The Commissioner is hereby authorized to charge any additional fees which may be required for this Information Disclosure Statement, or credit any overpayment to Deposit Account No. 13-4500, Order No. 4024-4008. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.					
D		мо́R	ctfully submitted, GAN & EDINEGAN, L.L.P.			
Dat	ea: <u>Ju</u>		rd Straussman ration No. 39,847			
Cor	respo	ondence Address:				
		AN & FINNEGAN, L.L.P.				
		Financial Center				
		rk, NY 10281-2101				

(212) 415-8700 Telephone (212) 415-8701 Facsimile

Docket No. 4024-4008

		10. 2 · 200 ///		
9.		Discresing statement was first cited i	ormation contained in this Information n a communication from a foreign patent ation not more than three months prior to ire Statement.	
		filed herewith was cited in a communic counterpart foreign application or, to m	y knowledge after making reasonable inquiry, d in §1.56(c) more than three months prior to	
10.		This document is accompanied by a cited in a corresponding PCT or	Search Report Communication which was Foreign counterpart application	
11.	A check in the amount of \$ is enclosed in payment of the fees due under 37 C.F.R. §§1.17(h) and 1.17(p).			
	Charge the fees due under 37 C.F.R. §§1.17(h) and 1.17(p) to Deposit Account No. 13-4500, Order No A DUPLICATE COPY OF THIS SHEET IS ATTACHED.			
	X	The Commissioner is hereby authoric be required for this Information Disc overpayment to Deposit Account No. DUPLICATE COPY OF THIS SHEI	13-4500, Order No. 4024-4008. A	
Dat	ed: <u>Ju</u>	une 21, 2005 By:	Respectfully submitted, MORGAN & FINNEGAN, L.L.P. Richard Straussman	
			Registration No. 39,847	
		ndence Address:		
MC	RGA1	N & FINNEGAN, L.L.P.		

Correspondence Address: MORGAN & FINNEGAN, L.L.P. 3 World Financial Center New York, NY 10281-2101 (212) 415-8700 Telephone (212) 415-8701 Facsimile JUN 2 1 2005 %

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INFORMATION DISCLOSURE CITATION

Attorney Docket: Serial No.: 4024-4008 09/896,797

Applicant: John Trezza

Filing Date: June 29, 2001

Group Art Unit: 2633

U.S. PATENT / PUBLICATION DOCUMENTS

E					
Examiner Initial		Patent/Publication Number	Publication/Issue Date	Name	Filing Date
	1.	5,612,968	March 18, 1997	Zah	April 20, 1995
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FOREIGN PATENT DOCUMENTS

Examiner Initial		Patent Number	Publication Date	Country	Copy Filed	Translation
	15.				☐ Yes	☐ Yes ☐ No
	16.				☐ Yes	☐ Yes ☐ No
	17.				□ Yes	☐ Yes ☐ No
	18.				☐ Yes	☐ Yes ☐ No
	19.				☐ Yes	☐ Yes ☐ No
	20.				☐ Yes	☐ Yes ☐ No

Examiner	/Agustin Bello/ (06/30/2010)	Date Considered		
EXAMINER:	Initial if reference considered, whether or not citation is in conformance with MPEP §609.			
	Draw line through citation if not in conformance and not considered.			
	Include copy of this form with next communication to Applicant,			